specification, and they also include minor corrections. None of the changes made in the substitute specification introduces new matter because each change is based upon the specification of the international application as it was published. Attachment B shows the changes that were made in the substitute specification to the specification that was published by the International Bureau.

The claims as above amended present the claimed subject matter in the U.S. claim form to more particularly point out and more distinctly claim the subject matter that the applicant regards as his invention.

Attached hereto as Attachment C is a set of the claims as they were allowed in the international application, showing all additions, deletions, and modifications of those claims that are reflected in the clean claims presented above.

Also attached hereto is an Abstract of the Disclosure presented on a separate sheet in conformity with the rules of practice.

Based upon the specification and claim amendments to this national phase application, it is believed that the specification conforms with U.S. formal requirements. Additionally, the amended claims as hereinabove presented conform in substance with the corresponding claims that were examined in the international application. And based upon the acceptance by the International Preliminary Examining Authority of the invention as it was claimed in the claims as they were originally presented in the international application as meeting each of the novelty, the inventive step, and the industrial applicability criteria set forth in the Patent Cooperation Treaty, the claims in the present application are believed to conform with both U.S. formal and substantive requirements, and they are therefore believed to be in allowable form. Accordingly, an early Notice of Allowance is in order and is respectfully solicited.

Should the examiner have any question after considering this Preliminary

Amendment, he is cordially invited to telephone the undersigned attorney so that any such question can be quickly resolved, and in order that the present application can proceed toward allowance.

Respectfully submitted,

August 17, 2001

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ATTACHMENT B

SUBSTITUTE SPECIFICATION

(Showing All Changes From Published International Application No. PCT/SE00/00292)

A METHOD OF CHARACTERISING A TUNEABLE LASER

Background of the Invention

Field of the Invention

[0001] The present invention relates to a method of enabling a tuneable laser to be [characterised] characterized quickly.

[0002] The method can be applied for evaluating and selecting lasers with respect to emitted wavelength and to find good operation points systematically.

Description of the Related Art

[0003] Tuneable semiconductor lasers have a number of different sections through which current is injected, typically three or four such sections. The wavelength, power and mode purity of the lasers can be controlled by adjusting the current injected into the various sections. Mode purity implies that the laser [shall be in] is at an operation point, i.e., at a distance from a combination of the drive currents where so-called mode jumps take place, and where lasering is stable and side mode suppression is high.

[0004] In the case of telecommunications applications, it is necessary that the laser is able to retain its wavelength to a very high degree of accuracy and over very long periods of time, after having set the drive currents and the temperature. A typical accuracy in this respect is 0.1 nanometer and a typical time period is 20 years.

[0005] In order to be able to control the laser, it is necessary to map the [behaviour] behavior of the laser as a function of the various drive currents. This is necessary prior to using the laser after its manufacture.

[0006] Mapping of the [behaviour] <u>behavior</u> of a laser is normally effected by connecting the laser to different measuring instruments and then varying the drive currents systematically. Such instruments are normally power meters, optical spectrum [analysers] <u>analyzers</u> for measuring wavelength and sidemode suppression, and line width measuring devices. This laser measuring process enables all of these parameters to be fully mapped as a function of all different drive currents.

One problem is that lasers exhibit [an] hysteresis. As a result of [these hystereses] the hysteresis, the laser will deliver different output signals in the form of power and wavelength in respect of a given drive current set-up, i.e., with respect to a given operation point, depending on the path through which the laser has passed with respect to the change in said drive currents, in order to arrive at the working point in question. Thus, this means that a given drive current set-up will not unequivocally give the expected wavelength or power.

[0008] In the case of a tuneable laser, the wavelength of the emitted light is determined mainly by the current or voltage across the tuning sections. The power emitted is controlled by current to the gain section of the laser or by the [current] voltage across said section.

[0009] When [characterising] characterizing a laser, all of the possible control combinations afforded by the tuning sections, or a subset of said sections, are

investigated. During the [characterising] characterizing process, the emitted light is studied with respect to wavelength and sidemode suppression and controlling the gain section with regard to power adjustment.

[0010] The enormous number of possible control combinations, typically tens of billions, of which fewer than a hundred [shall] will be selected, makes total mapping of the laser impossible in view of the large amount of data generated.

Summary of the Invention

[0011] The present invention solves this problem and provides a method of quickly sorting away control combinations that do not result in correct wavelengths.

[0012] The present invention also relates to a method of evaluating a tuneable laser and determining suitable laser operation points for a laser that includes two or more tuneable sections, in which injected current can be varied and of which at least one is a reflector section and one is a phase section [, wherein said] . The method [is characterised by] includes leading part of the light emitted by the laser to an arrangement that includes a Fabry-Perot filter and a first and a second detector, said detectors being adapted to measure the power of the light and to deliver a corresponding detector signal [; arranging the] . The detectors are arranged relative to the Fabry-Perot filter such that the data signals will contain information relating at least to the wavelength of the detected light [; sweeping the] . The currents are swept through the tuning sections so as to pass through different current combinations [; measuring] , and the ratio between the two detector signals during said sweeps [; sweeping the] is measured. The reflector current in the inner sweep variable is swept in one direction and then in the opposite direction back to its start

value [; and storing the] . The control combination for the tuning currents is stored when the ratio between the detector signals lies within a predetermined range that indicates that the light emitted lies within one of a number of wavelengths given by the Fabry-Perot filter, and [said] when the ratio lies within said predetermined range for a given reflector current in both sweep directions of said current.

Brief Description of the Drawings

[0013] The invention will now be described in more detail with reference to exemplifying embodiments thereof and also with reference to the accompanying drawings, in which

[0014] -Figure 1 is a perspective, partially cut-away view of a DBR laser;

[0015] -Figure 2 is a sectional view of a tuneable Grating Coupled Sampled Reflector (GCSR) laser;

[0016] -Figure 3 is a sectional view of a Sampled Grating DBR laser; and

[0017] -Figure 4 is a schematic block diagram illustrating an arrangement which is used in accordance with the invention.

Description of the Preferred Embodiments

[0018] Shown in Figure 1 is a DBR laser which includes three sections, namely a Bragg reflector 1, a phase section 2 and a gain section 3. Each section is controlled by injecting current into respective sections through respective electric conductors 4, 5, 6.

[0019] Figure 2 is a sectional view of a tuneable Grating Coupled Sampled Reflector (GCSR) laser. Such a laser includes four sections, i.e., a Bragg reflector 7,

a phase section 8, a coupler 9 and a gain section 10. Each of the sections is controlled by injecting current into the respective sections.

[0020] Figure 3 is a sectional view of a Sampled Grating DBR laser that also includes four sections 11, 12, 13, 14, of which sections 11 and 14 are Bragg reflectors, section 13 is the phase section, and section 12 is the gain section.

[0021] These three laser types are common, although other types of lasers exist.

[0022] Although the invention is described below essentially with reference to a GCSR laser according to Figure 2, it will be understood that the invention is not [too] restricted to any particular type of tuneable semiconductor laser, but can be applied correspondingly with tuneable lasers other than those illustrated by way of example in the drawings.

[0023] The present invention relates to a method of evaluating tuneable lasers and determining suitable laser operation points. The laser may thus contain two or more tuneable sections in which injected current can be varied in a known manner. The laser is of the kind which includes at least one reflector section and one phase section.

[0024] Figure 4 is a block diagram which illustrates an arrangement used in accordance with the present invention. The reference numeral 15 identifies a GCSR laser, while the reference numeral 16 identifies current generators for injecting current into the reflector section, the phase section and the coupler section respectively of said laser, through respective conductors 17, 18 and 19. The power of the laser is controlled to its gain section by means of a power regulating circuit 20, via a conductor 21.

[0025] The laser emits light from the front mirror to a light conductor 23, for instance a light-conducting [fibre] fiber, via a lens pack 22. This light conductor leads the light to a light splitter or divider 26 which switches part of the light to another light conductor 24. The remainder of the light is led further in the light conductor 25. The light splitter 26 switches, e.g., 10%, of the light from the conductor 23 to the conductor 24.

[0026] The light conductor 24 leads the light to a second light splitter or divider 27, which functions to divide the light equally between two light conductors 28, 29. A lens 30 and a lens 31 are disposed at respective ends of the light conductors. A Fabry-Perot filter 32 is provided in the beam path downstream of the lens 30. The filter 32 is well known and will not therefore be described in more detail in this document. Fabry-Perot filters can be designed to exhibit a certain light transmission solely for certain wavelengths, normally wavelengths that are multiples of a given wavelength. The Fabry-Perot filter exhibits a deviating lower or higher transmission at other wavelengths.

[0027] A first detector 33 is provided downstream of the lens 31, and a second detector 34 is provided downstream of the Fabry-Perot filter. The detectors 33, 34 function to measure the power of the light and to deliver a corresponding detector signal to an A/D converter 37, via a respective amplifier 35, 36.

[0028] The A/D converter 37, the power regulating circuit 20 and the current generators 16 are all connected to a microprocessor 39 via a data bus 38. The microprocessor is adapted to control the current generators and the power regulating

circuit in a desired and a well known manner, in response to the signals from the A/D converter 37 and the power regulating circuit 20.

[0029] According to the invention, part of the forwardly emitted light is thus conducted to the first detector [31] 33 and also to the second detector 34, via the Fabry-Perot filter 32.

[0030] According to the invention, the currents are swept through the tuning sections 18, 19, 21 such as to pass through different current combinations. The ratio between the two detector signals I1 and I2 is measured during said sweeps.

[0031] When sweeping the currents through the tuning sections, the reflector current is the inner sweep variable. It is meant by this that the reflector current is swept for different combinations of other tuning currents while holding said other currents constant. The reflector current is swept first in one direction and then in the opposite direction, back to its start value. For instance, the reflector current is swept from a zero value and up to its maximum value and then down to zero again.

[0032] By current control in the present document is meant that the current through the sections is controlled by current generators or, alternatively, by controlling the voltage across the sections.

[0033] In the case of the Figure 4 embodiment, the first detector <u>33</u>, the second detector <u>34</u> and the Fabry-Perot filter 32 are placed in the proximity of the front mirror of the laser. Alternatively, the components may equally as well be placed in the proximity of the rear mirror of the laser, in which case light emitted from the rear mirror of said laser is used to determine the wavelength.

[0034] The Fabry-Perot filter and the first and the second detector may be arranged relative to one another in a manner different [to] <u>from</u> that shown in Figure 4, so as to detect at least wavelengths. The first and the second detector may be arranged to measure light transmitted through the Fabry-Perot filter and/or light reflected towards the Fabry-Perot filter, such as to detect wavelengths.

[0035] The hysteresis effect exhibited by lasers causes the power output of the laser in respect of certain reflector currents, with otherwise constant conditions, to be different due to the reflector current having taken its existing value by virtue of the reflector current having increased to said value or having decreased from a higher value. The wavelength is also influenced by the hysteresis effect. Such operation points as those which lie in the regions of hysteresis with respect to the reflector current, or with respect to other tuning currents for those sections that exhibit hysteresis, are non-preferred operation points for a laser in operation.

[0036] Communication lasers [shall be] <u>are</u> adapted to operate at certain given wavelengths that are included in a so-called channel plane, where each channel corresponds to a well defined wavelength. According to the invention, the Fabry-Perot filter 32 is adapted to have a certain given transmission for each wavelength included in the channel plane.

[0037] When the ratio between the detector signals I1/I2 from the detectors [32] 34, 33 lies within a predetermined range, implying that the emitted light lies within one of a number of wavelengths given by the Fabry-Perot filter, and said ratio I1/I2 lies within said range for a given reflector current in both sweep directions of the

reflector current, the control combination for the tuning currents is stored, in accordance with the invention.

[0038] This range is given by the permitted channel width in the channel plane.

[0039] These control combinations thus [fulfil] fulfill the criteria that will give desired wavelengths and not result in any hysteresis effect.

[0040] In certain cases [,] it is preferred that one or more other tuneable currents to sections that exhibit [an] <u>a</u> hysteresis effect, excluding the reflector current, are swept so as to determine whether or not hysteresis occurs [in] <u>at</u> a contemplated operation point.

[0041] According to one preferred embodiment, the signal I2 is delivered from the first detector 33 to the power regulating circuit 20. The regulating circuit is adapted to control the laser so that said laser will emit light at a constant power. This enables the ratio I1/I2 to be followed very easily in determining possible operation points.

[0042] According to another preferred embodiment of the invention, a [monitored] monitor diode 40 is placed on the side of the laser opposite to that side on which the first and the second detectors are placed, said monitor diode being caused to measure the light emitted by the laser. The [detector] detected light measurement signal is led through an amplifier 41 to an A/D converter 42, whose output signal is delivered to the microprocessor 39. In this embodiment, one or more of the tuneable currents is chosen so as to [minimise] minimize the ratio between the power of the rearwardly emitted light and the power of the forwardly emitted light, thereby enabling an optimum operation point for a channel to be selected from said possible operation points.

[0043] The monitor diode 40 is placed adjacent the rear mirror of the laser in the Figure 4 embodiment.

[0044] It is highly preferred with a number of the possible operation points taken out to measure the wavelength emitted by the laser until an operation point has been obtained with each desired wavelength, wherewith the control combination for each operation point is stored. Thus, one control combination for each channel in the channel plane will be stored in the memory of the microprocessor.

[0045] It will be evident from the [aforegoing] foregoing that the use of a Fabry-Perot filter enables all those control combinations that do not fulfil the criterion that the ratio between the currents I1/I2 shall lie within a certain given range to be sorted out. Moreover, it is sufficient for communications purposes to identify one control combination for each wavelength in the channel plane that lies in a region in which the laser exhibits no hysteresis.

[0046] The present invention thus solves the problem mentioned in the introduction.

[0047] Although different embodiments have been described, and therewith in respect of a GCSR laser, it will be obvious that the structural design of the described arrangement can be varied while achieving the same result. The invention can also be applied to lasers of a type other than GCSR lasers.

[0048] It will therefore be understood that the present invention is not restricted to the aforedescribed and illustrated exemplifying embodiments thereof and that variations can be made within the scope of the following [Claims] claims.

[CLAIMS]

What is claimed is:

09, 13845 1419 518 Rec'd PCT/PTO 1 7 AUG 2001 ATTACHMENT C

Allowed International Application Claims as Amended Herein

1. (Amended) A method of evaluating a tuneable laser [(15)] and determining suitable laser operation points, wherein said laser includes two or more tuneable sections in which injected currents can be varied, of which sections at least one is a reflector section and one is a phase section, [characterised by] said method comprising the steps of: leading part of the light emitted by the laser [(15)] to an arrangement which includes a Fabry-Perot filter [(32) and], a first light detector [(33)] , and a second light detector [(34)], said detectors being adapted to measure the power of the laser and to deliver a corresponding detector output signal [(11, 12)]; arranging the detectors relative to the Fabry-Perot filter so that the detector output signals [(11, 12) will] contain information relating at least to the wavelength of the detected light; sweeping the currents through the [tuning] tunable sections [(17, 18, 19) such as to pass through different current combinations; measuring the ratio between the [two] detector output signals [(I1, I2)] during said sweep, wherein the reflector current [(17)] is [the] an inner sweep variable which is swept in one direction and then in an opposite direction back to its start value; and storing the control combination for said tuning currents when the ratio between the detector output signals [(I1, I2)] lies within a predetermined range signifying that the emitted light lies within one of a number of wavelengths given by the Fabry-Perot filter [(32)], and said ratio lies within said predetermined range for a given reflector current in both sweep directions of said reflector current.

- 2. (Amended) A method according to Claim 1, [characterised in that] wherein the Fabry-Perot filter [(32) exhibits] is operable to exhibit a certain transmission for each wavelength included in a channel plane which contains desired wavelengths and exhibits a transmission that deviates therefrom with respect to other wavelengths.
- 3. (Amended) A method according to Claim 1 [or 2, characterised by] including the step of: delivering the output signal from one detector [(33)] at the front mirror of the laser to a power regulating circuit [(20) which is adapted] operable to control the laser [(15)] to emit light with a constant power from the front mirror.
- 4. (Amended) A method according to Claim 1, [2 or 3, characterised by causing] including the steps of: providing a monitor diode [(40) placed] on [the] <u>a</u> side of the laser [(15)] opposite to that side on which the first [(32)] and the second [(33)] light detectors are placed to measure the light emitted by the laser; and adjusting [one or more of] the tuning currents [so as] to minimize the ratio between the power of the rearwardly emitted light and the power of the forwardly emitted light, therewith [optimising] optimizing an operation point for the laser [(15)].
- 5. (Amended) A method according to Claim 1, [2, 3 or 4, characterised by] including the step of: sweeping at least one [or more other] tuning [currents] current other than the reflector current to sections that exhibit [an] a hysteresis effect,

[excluding the reflector current so as] to determine whether or not hysteresis occurs [in] at a contemplated operation point.

6. (Amended) A method according to Claim 1, [2, 3, 4 or 5, characterised by] including the steps of: measuring the wavelength transmitted by the laser [(15) in] at a number of [the] possible operation points [taken out] until one operation point has been obtained for each desired wavelength, and storing the control combination for each such operation point.